

2011 Realignment*

DESCRIPTION:

This section provides a summary of the 2011 Realigned programs, estimated caseloads, expenditures for programs and services, histories of rate changes, links to related information in this binder and links to other resources available on CDSS' website.

In June 2011, statute realigned state funding to the counties through the established 2011 LRF Protective Services Subaccount within the Support Services Account for the following programs: AAP, Agency Adoptions, Fed-GAP, FC, CWS, CAPIT and APS. The CalWORKs information associated with 2011 Realignment is available in the CalWORKs Funding Subaccounts premise.

The LRF was created in the State Treasury to receive all revenues, less refunds, derived from the taxes described in Government Code sections 6051.15 and 6201.15. Revenues may be allocated to the fund pursuant to Revenue and Taxation Code sections 11001.5 and 11005, as well as other moneys that may be specifically appropriated to the fund.

The 2011 Realignment statute requires CDSS to annually report to the appropriate fiscal and policy committees of the Legislature. In addition, CDSS is required to publicly post a summary of outcome and expenditure data that allows for monitoring of changes over time that may have occurred as a result of 2011 Realignment on the child welfare system. The report can be found on CDSS' website: <http://www.cdss.ca.gov/cdssweb/PG2800.htm>.

An overview of AAP, FC, CWS and Agency Adoptions is provided under the "Program Overviews" tab and the "History of Major Changes" can be found under the "Reference Documents" tab in this binder. Descriptions for each of the realigned premises from the 2011 May Revision, which includes the full description, key data assumptions, methodologies and funding for the FY 2011-12 realigned programs are available at:

<http://www.cdss.ca.gov/cdssweb/entres/pdf/2011MayRevisionRealignedPremises.pdf>.

IMPLEMENTATION DATE:

The base year for 2011 Realignment funding is FY 2011-12.

SUMMARY OF REALIGNED PROGRAMS:

AAP

- The AAP provides financial support to families adopting a child with special needs. Children eligible for AAP benefits have one of the following characteristics that are barriers to adoption: mental, physical, medical or emotional handicap; ethnic background, race, color, or language; over three years of age; member of a sibling group to be adopted by one family; or adverse parental background (e.g., drug addiction, mental illness).

FC Program

- Foster Family Homes
 - Foster family homes provide 24-hour care and supervision in a family environment for children who cannot live in their own homes.
 - The placements have a capacity of six or less.

*Please refer to the first tab titled "[Acronyms](#)" for a full description of acronyms.

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

- Foster Family Homes (Continued)
 - The foster family homes are licensed by the state, county CCL agencies or are approved homes of relatives or non-related legal guardians.
 - The foster family home reimbursement rates range from \$688 to \$859 per month based on the age of the child in placement. A SCI may be paid to a foster family home in addition to the basic rate on behalf of any AFDC-FC child requiring specialized care because of health and/or behavioral problems. A clothing allowance may also be paid by counties in addition to the basic rate.
- Foster Family Agencies
 - Foster family agencies are non-profit agencies licensed to recruit, certify, train and support foster parents for children needing placement.
 - These foster family agencies primarily serve children who would otherwise require group home care.
 - The foster family agency treatment rates include a basic rate similar to the foster family home rate, a set increment for the special needs of the child, an increment for social work activities and an increment for administration, which includes recruitment and training. Reimbursement rates range from \$1,789 to \$2,060 per month based on the age of the child in placement. Reimbursement rates for foster family agencies with an Intensive Treatment FC Program range from \$4,194 to \$5,741 per month based on the level of services provided to the child.
- Group Homes
 - Group homes are private nonprofit, non-detention facilities that provide services in a group setting to children in need of higher level care and supervision.
 - These homes are the most restrictive out-of-home placement for children in FC, providing an option for children with significant emotional or behavioral problems who would otherwise require more restrictive environments.
 - The group home reimbursement rates range from \$2,391 to \$10,130 per month based on rate classification levels 1 through 14, based on the level of services provided.

CWS Program

- The CWS program provides services to children who have been abused, neglected or exploited and is comprised of the five components listed below:
 - The ERA is the initial intake service provided in response to reported allegations of child abuse, neglect or exploitation that is determined, based upon an evaluation of risk, to be inappropriate for an in-person investigation.
 - The ER services consist of a response system that provides in-person response, when required, to reports of child abuse, neglect or exploitation for the purpose of investigation and to determine the necessity for providing initial intake services and crisis intervention to maintain the child safely in his/her home or to protect the safety of the child.

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

CWS Program (Continued)

- The FM is designed to provide time-limited protective services to prevent or remedy neglect, abuse or exploitation for the purpose of preventing separation of children from their families. The CWDs are responsible for determining the specific service needs of the child and family aimed at sustaining the child from the home.
- The FR is designed to provide time-limited protective services to prevent or remedy neglect, abuse or exploitation when the child cannot safely remain at home. The CWDs are responsible for determining the specific service needs of the child and/or family aimed at reunifying the child with the family.
- The PP is designed to provide an alternative permanent family structure for children who because of abuse, neglect or exploitation cannot safely remain at home and who are unlikely to ever return home. The CWDs are responsible for determining the appropriate permanent goal for the child and facilitating the implementation of that goal. These goals are defined as guardianship, adoption or long-term placement.

Agency Adoptions Program

- The Adoptions Program is comprised of both Agency (Relinquishment) and Independent Adoptions Programs, however only Agency Adoptions were realigned.
- Funding is provided for adoption placements through a licensed adoption agency for children who have been relinquished by their parent(s) and/or the parental rights have been terminated by a court action due to abuse or neglect.

CAPIT Program

- The CAPIT Program was established to fund prevention and intervention services for children at risk of abuse and/or neglect. The funds are used for community-based public and private agencies to provide services to high risk children and families and provide training to funded agencies. The funds are also used as match for the federal CBCAP and CAPTA grants.
- The federal CAPTA of 1974 (PL 93-247) provides federal grant funds to states that is used to target statewide improvements that include expanding risk and safety assessments and assessing families' needs for services. The Act consists of two parts: Title I, General Program, and Title II, the CBCAP Program. It is used to strengthen linkages between CWS, public health, mental health and developmental disabilities agencies to screen children ages zero to five years who have come to the attention of child protective services and are in need of early intervention services.
- Funds are also used to enhance the capacity of family resource centers and family support programs to provide services to strengthen families, and to provide training on the assessment and developmental interventions for high-risk, medically fragile newborns.
- With the passage of 2011 Realignment statutes, counties are no longer required to contract for services and may now use the realigned CAPIT funds in-house to provide direct services (such as home visiting, counseling, etc.) to the target population as long as federal match requirements (for county LRF dollars) continue to be met. These funds are managed by CDSS' OCAP.

**Please refer to the first tab titled "[Acronyms](#)" for a full description of acronyms.*

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

APS Program

- The APS program provides assistance to elderly and dependent adults who are functionally impaired, unable to meet their own needs, and who are victims of abuse, neglect or exploitation.
- Under the APS program, counties are required to respond to reports of abuse of elderly and dependent adults on a 24-hour ER basis, completing investigation and needs assessments and providing case management services.
- In addition, the APS program is required to provide necessary tangible resources such as food, emergency shelter care, in-home protection, transportation and the use of multidisciplinary teams.

Title IV-E California Well-Being Project

- The Title IV-E California Well-Being Project ("Project") was previously referred to as the Title IV-E Waiver.
- The Project allows counties to use the capped federal Title IV-E funds in a more flexible manner to place more emphasis on child and family well-being.
- The Project waives Social Security Act sections 472 (a) related to eligibility, 474 (a)(1) related to claiming and 474 (a)(3)(E) and 45 CFR 1356.60(c)(3) related to services.
- On March 31, 2006, the federal DHHS approved the Project for Alameda and Los Angeles Counties for the period of FY 2007-08 through FY 2011-12, with bridge extensions through September 30, 2014.
- On September 30, 2014, the state was granted an extension for the Project for the period FFY 2015 through FFY 2019.
- For the extension period, Alameda and Los Angeles Counties continue to be part of the Project and are referred to as Cohort 1.
- Seven additional counties (Butte, Lake, Sacramento, San Diego, San Francisco, Santa Clara and Sonoma) joined the Project for the extension period and are referred to as Cohort 2.

KEY DATA/ASSUMPTIONS:

- Authorizing statute: Family Code sections 8506, 8509, 8513, 8515, 8521, 8524, 8530, 8600.5, 8608, 8619, 8620, 8621, 8700-8705, 8707-8710, 8712, 8713, 8715, 8716, 8717, 8720, 8730, 8732, 8733, 8735 and 9205; Health and Safety Code sections 1502, 1505 and 1559.110; Government Code sections 29553, 30025 through 30029, 30061, 30070, 6051.15 and 6201.15; Penal Code sections 1465.8 and 13821; Revenue and Taxation Code sections 6051.15, 6201.15, 11001.5 and 11005; W&IC sections 293, 294, 305.6, 358.1, 361, 361.5, 366.21, 366.22, 366.24, 366.25, 366.26, 366.3, 450, 727.3, 727.31, 1954, 10101, 10101.2, 10103, 10104, 10553.1, 10601.2, 10605, 10606.2, 10609.3, 10609.4, 10609.9, 10823, 11214, 11215, 11400, 11402, 11402.6, 11403, 11461, 11462.05, 11463, 11466.23, 11467, 11469, 13754, 13757, 15200, 15204.9, 16002, 16100, 16101, 16105, 16118, 16119, 16120, 16120.1, 16121.05, 16122, 15204.25, 16123, 16133, 16135, 16500, 16501, 16508, 16516.5, 16519.5, 16522, 16522.1, 16522.2, 16522.5, 16525.10, 16525.25,

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KEY DATA/ASSUMPTIONS (CONTINUED):

- Authorizing statute (Continued): W&IC sections 16605, 17601.20; 17600, 17600.10, 17601.20, and 18220.1, 17600.15, 17601.20, 17601.25, 17602.1, 18220, 18220.1, 18250, 18254, 18255, 18257, 18358.30, 18960, 18961, 18962 and 18987.7.
- Caseload trends for AAP, FC and CWS are shown under the “Caseload” tab in this binder.
- The LRF funding is solely for specified realigned programs.
- The LRF for the children and adult programs mentioned above, representing the state revenues that shifted to the counties under realignment, is displayed in the county column in the “2011 Realignment/Program Cost” lines in the Detail Tables in this binder. The federal, county and reimbursement funding shares are incorporated into the “2011 Realignment/Program Cost” table lines.
- The LRF value has been held to the 2011 Realignment base from FY 2011-12, while the federal, county and reimbursement funding reflects updated assumptions.
- The Expenditures section below displays the LRF, county and federal funding shares, including the break-out of the federal funds by federal fund type.

AAP

- Authorizing statute: W&IC sections 16115 through 16123.
- The AAP provides financial support to families adopting a child with special needs. The AAP benefit is limited to the age-related foster family home basic rate for which the child would otherwise be eligible. Additionally, the grant cost for a child entering AAP on or after January 1, 2010, is frozen at the level provided at entry, and no increases are provided based on an increase in age.

FC

- Authorizing statute: W&IC section 11461 through 11463 and 18358.3.
- Federal and nonfederal average grant computations utilize caseload and expenditure data reported by the counties on the CA 237 AFDC-FC Caseload Movement and Expenditures Report and the CA 800 FC Summary of Report Expenditures.
- The foster family home, foster family agency, group home and AAP grants reflected separately below combine the average federal and nonfederal amounts.

	Base Year	2016 May Revision	
	FY 2011-12	FY 2015-16	FY 2016-17
Foster Family Homes	\$905.40	\$939.14	\$965.06
Foster Family Agencies	1,642.66	2,071.50	2,128.66
Group Homes	7,259.46	8,349.71	8,580.18
AAP	842.54	987.27	1,014.52

- The group home rate increased overall by 32 percent beginning December 14, 2009, with CNI COLA increases every July thereafter.
- The foster family home rate increased by 31 percent beginning May 1, 2011, with CNI COLA increases every July thereafter.

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KEY DATA/ASSUMPTIONS (CONTINUED):

FC (Continued)

- The AAP rate for prospective cases increased by 31 percent beginning May 1, 2011, and for prospective and current AAP cases, with CNI COLA increases annually.
- The basic rate component of the foster family agency rate increased by 31 percent beginning July 1, 2012, with CNI COLA increases annually thereafter.
- The FY 2015-16 and FY 2016-17 grants are based on the 49-county estimate, the grants for the base FY was based on the 56-county estimate.
- The following table reflects the 58-county FC and AAP budgeted caseload forecast by placement type.

	Base Year	2016 May Revision	
	FY 2011-12	FY 2015-16	FY 2016-17
Foster Family Homes	23,446	25,245	25,668
Foster Family Agencies	15,378	13,870	14,212
Group Homes	7,033	5,956	5,822
Total FC Caseload	45,857	45,071	45,702
AAP Caseload	86,393	84,796	85,050

CWS

- Authorizing statute: W&IC sections 16500 and 11461(e) (4) (B).
- The following table reflects the 58-county caseload components of the CWS program: ER, ERA, FM, FR and PP.

	Base Year	2016 May Revision	
	FY 2011-12	FY 2015-16	FY 2016-17
ERA	16,162	20,707	21,277
ER	39,896	40,023	40,493
FM	23,257	22,235	22,573
FR	21,644	23,910	23,839
PP	35,474	34,127	34,400
Total CWS Caseload	136,433	141,002	142,582

Agency Adoptions Program

- Authorizing statute: W&IC sections 16100 through 16106.
- Adoptions funding is comprised of adoptions administration costs and State Operations Agency Adoptions costs. State Operations Agency Adoptions costs are not included in CDSS' Local Assistance budget, but are included in the 2011 Realignment base funding.

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KEY DATA/ASSUMPTIONS (CONTINUED):

CAPIT

- Authorizing statute: W&IC sections 18960 through 18965.
- The CAPIT funding level is based on the OCAP spending plan in FY 2011-12.

APS

- Authorizing statute: W&IC section 13004 through 13007 as related to the County Services Block Grant; commencing with W&IC section 15600 as related to the Elder Abuse and Dependent Adult Civil Protection Act.

Title IV-E California Well-Being Project

- Authorizing statutes: The Project waives Social Security Act sections 472 (a) related to eligibility, 474 (a)(1) related to claiming and 474 (a)(3)(E) and 45 CFR 1356.60(c)(3) related to services.
- The federal funds are capped for Cohort 1 using FFY 2003-2005 expenditures and for Cohort 2 using 2008-2012 expenditures as the base year. Cohort 1 and Cohort 2 have an assistance growth factor based on the annual CNI and an administrative growth factor based on a three year moving average in expenditures for each year.
- If the state experiences a significant unanticipated increase in either payments to families or administrative costs that exceed the growth rate and are unrelated to the implementation of the Project (e.g., stemming from federal, state or county policy changes, court orders, or other external factors), the ACF may consider an adjustment to the base allocation.
- Estimates reflective of the non-Project counties are referred to as the 49-county estimate.
- Prior to Cohort 2 counties joining the Project, non-Project county estimates were referred to as the 56-county estimate.

METHODOLOGY:

The program expenditures were developed using updated caseloads, grants and expenditures. For more information on specific methodologies, refer to the Estimate Methodologies section of the 2011 May Revision binder.

FUNDING:

Federal, county and reimbursement shares of costs/savings have been determined using the same funding ratios applied prior to 2011 Realignment. The LRF is held to the FY 2011-12 Realignment base.

For children's programs, federal funds include Title IV-B, Title IV-E, Title XIX, Title XX and TANF. The FFP is 50 percent for both assistance and administrative expenditures and 75 percent for training expenditures. The percent of federally eligible administrative costs is 68 percent in FY 2015-16 and FY 2016-17 for the 49-county estimates. The percent of federally eligible administrative costs is 65 percent in FY 2015-16 and 66 percent in FY 2016-17 for the 58-county estimates.

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FUNDING (CONTINUED):

For adult programs, federal funds are solely Title XIX. The FFP is based on a 75 percent enhanced FMAP for health-related activities performed by SPMP and 50 percent FMAP for health-related activities performed by non-SPMP.

For more information on specific sharing ratios, refer to the Estimate Methodologies section of the 2011 May Revision binder.

CHANGE FROM GOVERNOR'S BUDGET:

The FY 2015-16 and FY 2016-17 FC assistance increases reflect growth in the projected caseload.

The FY 2015-16 and FY 2016-17 AAP county decrease and corresponding federal increase reflect revised saving reinvestments from AAP DeLink and revised caseload projections.

The FY 2015-16 and FY 2016-17 FC administration federal increase reflects revised attorney and settlement fees.

There is no change for FY 2015-16 in Adoptions administration. The FY 2016-17 Adoptions administration decrease reflects a reduction in the updated expenditures.

There is no change for FY 2015-16 in CWS administration. The FY 2016-17 CWS administration decrease reflects a reduction in projected Title XIX expenditures, slightly offset by updated county expenditures.

There is no change in OCAP administration.

The FY 2015-16 and FY 2016-17 APS administration increase reflects updated expenditures.

The FY 2015-16 and FY 2016-17 Title IV-E California Well-Being Project county decrease reflects the updated nonfederal base methodology. The FY 2016-17 Project federal increase reflects updated projected assistance expenditures due to the CNI COLA for FY 2016-17.

REASON FOR YEAR-TO-YEAR CHANGE:

The FY 2016-17 FC assistance increase reflects an increase in the projected caseload and the FC grants due to the CNI COLA.

The FY 2016-17 AAP increase reflects an increase in the projected caseload and the AAP grants due to the CNI COLA.

The FY 2016-17 FC administration increase reflects an increase in the projected caseload.

The FY 2016-17 CWS administration decrease reflects a reduction in projected expenditures and a reduction in the Title IV-B grant.

The FY 2016-17 Adoptions administration increase reflects updated expenditures.

There is no change in FY 2016-17 for OCAP administration and APS.

The FY 2016-17 Title IV-E California Well-Being Project federal increase reflects a growth in assistance costs due to a CNI COLA and an increase in administrative expenditures, offset by a caseload decrease for Cohort 1 counties.

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2011 Realignment***EXPENDITURES:**

(in 000s)

FY 2015-16	Total	Title IV-E	Title IV-B	Title XIX	Title XX	TANF	LRF	County
Item 101 – FC Grant	\$848,603	\$260,197	\$0	\$0	\$21,394	\$48,287	\$172,052	\$346,673
Extended FC Additional Base Funding**	53,887	0	0	0	0	0	53,887	0
Item 101 – AAP Grant	945,921	419,678	0	0	0	0	381,791	144,452
Item 141 – FC Administration	48,383	25,386	0	0	0	0	19,218	3,779
Item 151 – CWS Administration	1,453,444	307,842	30,793	140,467	163,221	258,574	334,333	218,214
Item 151 – Adoptions Administration	122,361	57,564	0	0	0	0	64,366	431
State Operations Agency Adoptions***	6,039	0	0	0	0	0	6,039	0
Item 151 – OCAP Administration	13,395	0	0	0	0	0	13,395	0
Item 151 – APS Administration	208,881	0	0	108,316	0	0	55,042	45,523
Item 153 – Title IV-E Project	1,839,287	708,909	0	0	66,610	0	576,044	487,724
Total Funds	\$5,540,201	\$1,779,576	\$30,793	\$248,783	\$251,225	\$306,861	\$1,676,167	\$1,246,796

**SB 1020 increased the Protective Services Subaccount funding base for the After 18 Program by \$53.9 million over three FYs; for additional information, refer to CFL 12/13-16. The additional base funding is reflected as a non-add line in the Detail Tables, but included in the 2011 Realignment total.

***State Operations Agency Adoptions costs are not included in CDSS' Local Assistance budget, but are included in the 2011 Realignment totals.

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2011 Realignment***EXPENDITURES (CONTINUED):**

(in 000s)

FY 2016-17	Total	Title IV-E	Title IV-B	Title XIX	Title XX	TANF	LRF	County
Item 101 – FC Grant	\$864,591	\$268,375	\$0	\$0	\$21,394	\$48,287	\$172,052	\$354,483
Extended FC Additional Base Funding**	53,887	0	0	0	0	0	53,887	0
Item 101 – AAP Grant	963,055	435,538	0	0	0	0	381,791	145,726
Item 141 – FC Administration	48,742	25,692	0	0	0	0	19,218	3,832
Item 151 – CWS Administration	1,443,423	305,511	30,553	134,134	163,221	258,574	334,333	217,097
Item 151 – Adoptions Administration	122,419	57,621	0	0	0	0	64,366	432
State Operations Agency Adoptions***	6,039	0	0	0	0	0	6,039	0
Item 151 – OCAP Administration	13,395	0	0	0	0	0	13,395	0
Item 151 – APS Administration	208,881	0	0	108,316	0	0	55,042	45,523
Item 153 – Title IV-E Project	1,860,420	730,042	0	0	66,610	0	576,044	487,724
Total Funds	\$5,584,852	\$1,822,779	\$30,553	\$242,450	\$251,225	\$306,861	\$1,676,167	\$1,254,817

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